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## Strongly normal ideals on $\mathcal{P}_\kappa\lambda$ and the Sup-function

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### Abstract

We shall prove that for any regular  $\lambda$  and strongly normal  $\lambda$ -saturated ideal  $I$  on  $\mathcal{P}_\kappa\lambda$  the Sup-function is one-to-one on some  $X \in I^*$ , generalizing Solovay's theorem for normal ultrafilters.

**Keywords:** Strong normality; Saturated ideals;  $\mathcal{P}_\kappa\lambda$ ; Generic ultrapowers; Rudin–Keisler ordering

**AMS classification:** 03E; 04

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### 0. Introduction

Solovay proved the following well-known theorem for the Sup-function in [15].

**Solovay's theorem.** *Suppose that  $\lambda$  is regular and  $U$  is a normal ultrafilter on  $\mathcal{P}_\kappa\lambda$ . If  $f: \mathcal{P}_\kappa\lambda \rightarrow \lambda$  is defined by  $f(x) = \sup(x)$ , then  $f|X$  is one-to-one on some  $X \in U$ .*

Johnson [11] extended it for the non  $\lambda$ -Shelah ideal,  $NSh_{\kappa\lambda}$  for  $\lambda = \mu^+$ . The argument is available for the non almost  $\lambda$ -ineffable ideal with any regular  $\lambda$ . These ideals can be seen as a weakening of normal ultrafilters in terms of the partition property.

If we turn our attention to the fact in [1] that  $\lambda^{<\kappa} = \lambda$  if  $\mathcal{P}_\kappa\lambda$  carries a normal  $\lambda$ -saturated ideal and  $2^{<\kappa} \leq \lambda$ , it may be natural to ask;

Does Solovay's theorem also hold for normal  $\lambda$ -saturated ideals on  $\mathcal{P}_\kappa\lambda$ ?

In the first section definitions and basic facts for ideals on  $\mathcal{P}_\kappa\lambda$  are stated. In the second section we extend Solovay's theorem to strongly normal  $\lambda$ -saturated ideals on

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$\mathcal{P}_\kappa\lambda$  with  $\lambda$  regular. In the last section we introduce the Rudin–Keisler ordering to the ideals on  $\mathcal{P}_\kappa\lambda$ . Minimal ideals in this ordering are considered.

Throughout this paper  $\kappa$  is a regular uncountable cardinal and  $\lambda$  a cardinal above  $\kappa$ . For such  $\kappa$  and  $\lambda$ ,  $\mathcal{P}_\kappa\lambda = \{x \subset \lambda: |x| < \kappa\}$ .

## 1. Basic facts on ideals

In this section we review the basic definitions and the facts for ideals on  $\mathcal{P}_\kappa\lambda$  used in the later sections.

**Definition.**  $I$  is an ideal on  $\mathcal{P}_\kappa\lambda$  if  $I \subset \mathcal{P}(\mathcal{P}_\kappa\lambda)$  and

- (i)  $\emptyset \in I$  and  $\mathcal{P}_\kappa\lambda \notin I$  ( $I$  is proper),
- (ii) if  $X \in I$  and  $Y \subset X$ , then  $Y \in I$ ,
- (iii) if  $X \in I$  and  $Y \in I$ , then  $X \cup Y \in I$ .

$I$  is  $\kappa$ -complete if  $I$  is closed under union of less than  $\kappa$  many members.

$I$  is fine if for all  $\alpha < \lambda$ ,  $\{x \in \mathcal{P}_\kappa\lambda: \alpha \notin x\} \in I$ . For the sake of convenience, all ideals are assumed to be  $\kappa$ -complete and fine.

$I$  is normal if for any  $\{X_\alpha: \alpha < \lambda\} \subset I$ ,  $\nabla_{\alpha < \lambda} X_\alpha = \{x: x \in X_\alpha \text{ for some } \alpha \in x\} \in I$ .  $\nabla_{\alpha < \lambda} X_\alpha$  is called the diagonal union of  $\{X_\alpha: \alpha < \lambda\}$ .

$I$  is strongly normal if for any  $\{X_a: a \in \mathcal{P}_\kappa\lambda\} \subset I$ ,  $\nabla_{<} X_a = \{x: x \in X_a \text{ for some } a \subset x \text{ with } |a| < |x \cap \kappa|\} \in I$ . So, every strongly normal ideal is normal.

$I^+ = \{X \subset \mathcal{P}_\kappa\lambda: X \notin I\}$  and  $I^* = \{X \subset \mathcal{P}_\kappa\lambda: \mathcal{P}_\kappa\lambda - X \in I\}$ . Any  $X \in I^+$  is called  $I$ -positive.

In [10], Jech generalized the notion of closed unbounded sets to  $\mathcal{P}_\kappa\lambda$ .

**Definition.**  $X \subset \mathcal{P}_\kappa\lambda$  is unbounded if for any  $a \in \mathcal{P}_\kappa\lambda$ , we have  $x \in X$  such that  $a \subset x$ .

$X$  is closed if for any  $\subset$ -increasing sequence  $\{x_\alpha: \alpha < \delta < \kappa\} \subset X$ ,  $\bigcup_{\alpha < \delta} x_\alpha \in X$ .

$X$  is stationary if  $X \cap C \neq \emptyset$  for all closed unbounded sets  $C$ .

$I_{\kappa\lambda} = \{X \subset \mathcal{P}_\kappa\lambda: X \text{ is not unbounded}\}$  which is the smallest ideal on  $\mathcal{P}_\kappa\lambda$ .

$NS_{\kappa\lambda} = \{X \subset \mathcal{P}_\kappa\lambda: X \text{ is not stationary}\}$ .

**Theorem 1.1** [6,7,10]. (1) For any  $X \in I_{\kappa\lambda}^+$ ,  $|X| \geq \lambda$ .

(2)  $NS_{\kappa\lambda}$  is the smallest normal ideal on  $\mathcal{P}_\kappa\lambda$ .

(3) Strongly normal ideals on  $\mathcal{P}_\kappa\lambda$  exist iff  $\kappa$  is Mahlo or  $\kappa = \nu^+$  with  $\nu^{<\nu} = \nu$ .

(4) Every normal ultrafilter on  $\mathcal{P}_\kappa\lambda$  is strongly normal.

**Definition.**  $X, Y \subset \mathcal{P}_\kappa\lambda$  are almost disjoint with respect to  $I$  if  $X \cap Y \in I$ .  $I$  is  $\eta$ -saturated if there is no pairwise almost disjoint family of  $\eta$  many  $I$ -positive sets.

It was shown in [1] that  $\lambda^{<\kappa}$  is small under the existence of saturated ideals.

**Theorem 1.2.** (1) If there is a normal  $\lambda$ -saturated ideal on  $\mathcal{P}_\kappa\lambda$ , then

$$\lambda^{<\kappa} = \begin{cases} 2^{<\kappa} \cdot \lambda & \text{if } \text{cf}(\lambda) \geq \kappa, \\ 2^{<\kappa} \cdot \lambda^+ & \text{if } \text{cf}(\lambda) < \kappa. \end{cases}$$

(2) If there is a normal  $\lambda^+$ -saturated ideal on  $\mathcal{P}_\kappa\lambda$ , then  $\lambda^{<\kappa} \leq 2^{<\kappa} \cdot \lambda^+$ .

Strong normality is related to following notion of distributivity.

**Definition.** For  $A \in I^+$ , an  $I$ -partition of  $A$  is a maximal almost disjoint family  $\subset \mathcal{P}(A) \cap I^+$ .  $I$  is  $(\mu, \nu)$ -distributive if whenever  $A \in I^+$  and  $\langle W_\alpha \mid \alpha < \mu \rangle$  is a sequence of  $I$ -partitions of  $A$  with  $|W_\alpha| \leq \nu$  for all  $\alpha < \mu$ , there is a  $B \in \mathcal{P}(A) \cap I^+$  and a sequence  $\langle X_\alpha \mid \alpha < \mu \rangle$  such that  $X_\alpha \in W_\alpha$  and  $B - X_\alpha \in I$  for all  $\alpha < \mu$ . Such a sequence  $\langle X_\alpha \mid \alpha < \mu \rangle$  is called a *branch*.

The following is due to Johnson [11] and we use it in 2.7.

**Lemma 1.3.** For any normal ideal  $I$  and  $\mu < \kappa$ ,  $I$  is  $(\mu, \lambda)$ -distributive iff for any  $X \in I^+$  and  $f: X \rightarrow {}^\mu\lambda$  with  $f(x) \in {}^\mu x$  for all  $x \in X$ , there is a  $Y \in \mathcal{P}(X) \cap I^+$  such that  $f|Y$  is constant.

Thus, for  $\kappa$  inaccessible,  $I$  is strongly normal iff it is normal and  $(\mu, \lambda)$ -distributive for all  $\mu < \kappa$ .

We also use generic ultrapowers. Foreman [9] will be a good reference for example. Consider a poset  $\langle P_I, \leq \rangle$  in the ground model  $V$  and a generic filter  $G$  on  $P_I$  where

$$P_I = I^+ \text{ and } X \leq Y \text{ iff } X \subset Y.$$

$G$  is a  $V$ - $\kappa$ -complete  $V$ -ultrafilter on  $\mathcal{P}_\kappa\lambda$  and we can form, in  $V[G]$ , an ultrapower of  $V$ ,  $\text{Ult}(V, G) = \{[f]: f \in V \text{ is a function with domain } \mathcal{P}_\kappa\lambda\}$  where  $[f]$  denotes the equivalence class of functions represented by  $f$  defined below.

$$f \equiv_G g \text{ iff } \{x \in \mathcal{P}_\kappa\lambda: f(x) = g(x)\} \in G,$$

$$f \in_G g \text{ iff } \{x \in \mathcal{P}_\kappa\lambda: f(x) \in g(x)\} \in G.$$

Then the *fundamental theorem* holds for any formula  $\varphi$  in the language of set theory.

$$\text{Ult}(V, G) \models \varphi([f]) \text{ iff } \{x \in \mathcal{P}_\kappa\lambda: V \models \varphi(f(x))\} \in G.$$

$j: V \rightarrow \text{Ult}(V, G)$  is the canonical generic elementary embedding defined by  $j(x) = [c_x]$  where  $c_x$  is the constant function with value  $x$ .

**Definition.**  $I$  is *preprecipitous* if  $\text{Ult}(V, G)$  is well-founded.

We write  $M_G$  to denote the transitive collapse of  $\text{Ult}(V, G)$  when it is well-founded.

**Lemma 1.4.** (1) If  $I$  is normal, then  $[id] = j''\lambda$ .

(2) If  $I$  is normal and  $\lambda^+$ -saturated, then  $I$  is preprecipitous.

## 2. Sup-function

In this section we generalize Solovay's theorem to strongly normal  $\lambda$ -saturated ideals.

**Definition.** *Sup* denotes the function  $f: \mathcal{P}_\kappa \lambda \rightarrow \lambda + 1$  such that  $f(x) = \sup(x)$  for each  $x \in \mathcal{P}_\kappa \lambda$ .

The main theorem in this section is the following.

**Theorem 2.1.** *If  $\lambda$  is regular and  $I$  is a normal  $\lambda$ -saturated  $(\omega, \lambda)$ -distributive ideal on  $\mathcal{P}_\kappa \lambda$ , then  $\text{Sup}|X$  is one-to-one for some  $X \in I^*$ .*

We prove it by series of lemmas. The concept of *weakly  $\omega$ -Jónsson function* is the key of the proof.

**Lemma 2.2.** *If  $\lambda$  is regular and  $I$  is a normal  $\lambda$ -saturated ideal on  $\mathcal{P}_\kappa \lambda$ , then*

$$\{x \in \mathcal{P}_\kappa \lambda: \bar{x} \text{ is regular}\} \in I^*.$$

**Proof.**  $I$  is preprecitous and  $[\langle \bar{x} \mid x \in \mathcal{P}_\kappa \lambda \rangle] = \lambda$  by 1.4. Since  $I$  is  $\lambda$ -saturated,  $\lambda$  is regular in  $V[G]$  hence in  $M_G$ . Now the fundamental theorem derives the conclusion.  $\square$

The difficulty in extending Solovay's theorem to normal  $\lambda$ -saturated ideals is that  $j''\lambda$  is not always closed under  $\omega$ -sequences. To accomplish this we use distributivity.

**Lemma 2.3.** *If  $I$  is normal  $(\omega, \lambda)$ -distributive, then  ${}^\omega j''\lambda \cap \text{Ult}(V, G) \subset V$ .*

**Proof.** Suppose that  $X \Vdash [f]: \omega \rightarrow j''\lambda$ ,  $X \in I^+$  and  $f: \mathcal{P}_\kappa \lambda \rightarrow V$ . We may assume that  $f(x): \omega \rightarrow x$  for all  $x \in X$ . Thus,  $f(x)(n) \in x$  for any  $n \in \omega$  and  $x \in X$ . Let  $Y_n^\gamma = \{x \in X: f(x)(n) = \gamma\}$  for each  $n \in \omega$  and  $\gamma < \lambda$ . For each  $n$ ,  $Z_n = \{Y_n^\gamma: \gamma < \lambda\} \cap I^+$  is a disjoint  $I$ -partition of  $X$ . Now choose any decreasing sequence of  $I$ -partitions of  $X$ ,  $\langle W_n \mid n \in \omega \rangle$  such that  $W_n$  is a refinement of  $Z_n$ . Since  $I$  is  $(\omega, \lambda)$ -distributive, there is a branch  $\langle X_n \mid n \in \omega \rangle$  such that  $S = \bigcap \{X_n: n \in \omega\} \in I^+$ . Let  $g: \omega \rightarrow \lambda$  so that  $X_n \subset Y_n^{g(n)}$ . It is clear that  $S \Vdash [f] = g$ .  $\square$

**Lemma 2.4.** *If  $I$  is normal  $(\omega, \lambda)$ -distributive, then  $j''\lambda$  is  $\omega_1$ -closed and  ${}^\omega j''\lambda \in \text{Ult}(V, G)$ .*

**Proof.** Suppose that  $f: \omega \rightarrow j''\lambda$ ,  $f \in V[G]$ . By the previous lemma,  $f \in V$ . For each  $n \in \omega$ , there is an  $\alpha < \lambda$  such that  $f(n) = j(\alpha)$ . If we define  $g: \omega \rightarrow \lambda$  by  $g(n) = \alpha$  for each  $n$ ,  $j(g) = f \in \text{Ult}(V, G)$ .

$\sup(f''\omega) = \bigcup \{j(g)(n): n \in \omega\} = j(\bigcup \{g(n): n \in \omega\}) = j(\sup(g''\omega))$ . Since  $\lambda$  is regular,  $\sup(g''\omega) \in \lambda$ . Hence  $\sup(f''\omega) \in j''\lambda$ .  $\square$

The following is a modification of  $\omega$ -Jónsson functions for our purpose.

**Definition.**  $F: {}^\omega\lambda \rightarrow \lambda$  is *weakly  $\omega$ -Jónsson* over  $\lambda$  if  $F'' {}^\omega x = \lambda$  for any  $\omega_1$ -closed  $x \subset \lambda$  with  $|x| = \lambda$ .

**Lemma 2.5.** *If  $\lambda$  is regular and  $I$  is a normal  $\lambda$ -saturated,  $(\omega, \lambda)$ -distributive ideal on  $\mathcal{P}_\kappa\lambda$ , then  $\{x: F|{}^\omega x \text{ is weakly } \omega\text{-Jónsson}\} \in I^*$  for any weakly  $\omega$ -Jónsson function  $F$  over  $\lambda$ .*

**Proof.** Since  $I$  is precipitous, let  $j: V \rightarrow M_G \cong \text{Ult}(V, G)$ ,  $M_G$  transitive. Suppose that  $M_G \models X \subset j''\lambda \wedge X$  is  $\omega_1$ -closed  $\wedge |X| = |j''\lambda|$ .

Then  $Y = j^{-1}(X) \in \mathcal{P}(\lambda) \cap V[G]$  and  $|Y|^{V[G]} = |Y|^V = \lambda$  since  $I$  is  $\lambda$ -saturated.

We first show that  $Y$  is  $\omega_1$ -closed in  $V[G]$ . Let  $S = \{\alpha_n: n \in \omega\} \subset Y$  increasing. Then  $T = \{j(\alpha_n): n \in \omega\} \subset X$  is also increasing and  $T \in M_G$  since  $X \subset j''\lambda$  and  ${}^\omega j''\lambda \in M_G$ . Hence  $\alpha = \sup(T) \in X$  because  $X$  is  $\omega_1$ -closed. Now,  $j^{-1}(\alpha) \in Y$  and  $j^{-1}(\alpha) = \sup(S)$ .

Second we show that there is a  $\omega_1$ -closed  $Z \in \mathcal{P}(Y) \cap V$  with  $|Z| = \lambda$ . Let  $\mathbf{1} \Vdash \underline{Y}$  is  $\omega_1$ -closed cofinal subset of  $\lambda$ .  $Z = \{\alpha < \lambda: \mathbf{1} \Vdash \check{\alpha} \in \underline{Y}\}$  is obviously  $\omega_1$ -closed in  $V$ . To prove  $Z$  is cofinal in  $\lambda$ , let  $\beta < \lambda$ . We construct a  $\omega$ -sequence  $\{\beta_n: n \in \omega\}$  with  $\beta = \beta_0$  by induction. Assume that  $\beta_n$  is already defined. Let  $A_n \subset \{p \in P_I: p \Vdash \beta_n \leq \gamma_p \in \underline{Y} \text{ for some } \gamma_p < \lambda\}$  be any maximal incompatible set and  $\beta_{n+1} = \sup\{\gamma_p: p \in A_n\}$ . Then,  $\beta_{n+1} < \lambda$  since  $|A_n| < \lambda$ . We also have  $\mathbf{1} \Vdash \underline{Y} \cap [\beta_n, \beta_{n+1}] \neq \emptyset$ . Hence  $\beta \leq \alpha = \sup\{\beta_n: n \in \omega\} < \lambda$  and  $\alpha \in Z$  since  $\underline{Y}$  is  $\omega_1$ -closed with the value  $\mathbf{1}$ . (If  $\beta_n \in Z$  for some  $n \in \omega$ , we stop the induction and set  $\beta_n = \alpha$ .)

Since  $F$  is weakly  $\omega$ -Jónsson over  $\lambda$ ,  $F'' {}^\omega Z = \lambda$ . So, for any  $\alpha < \lambda$  there exists an  $x \in {}^\omega Z$  such that  $\alpha = F(x)$ .  $j(\alpha) = j(F)(j(x)) = j(F)(j''x)$  and  $j''x \in {}^\omega j''Y$  because  ${}^\omega Z \subset {}^\omega Y$ . Hence  $j''\lambda = j(F)''({}^\omega j''Y) = j(F)''({}^\omega X)$ .

We have proved that  $M_G \models j(F)$  is weakly  $\omega$ -Jónsson over  $j''\lambda$ . The fundamental theorem and the density argument work.  $\square$

**Proof of Theorem 2.1.** Let  $F$  be weakly  $\omega$ -Jónsson over  $\lambda$ . By Lemmas 2.1, 2.4 and 2.5,

$$X = \{x \in \mathcal{P}_\kappa\lambda: F|{}^\omega x \text{ is weakly } \omega\text{-Jónsson over } x, \bar{x} \text{ is regular } \geq \omega_1, \\ x \text{ is } \omega_1\text{-closed}\} \in I^*.$$

If  $\{x, y\} \subset X$  and  $\sup(x) = \sup(y) = \gamma$ , then  $x \cap y$  is cofinal in  $\gamma$ . Hence  $|x| = |x \cap y| = |y|$  which implies  $x = F'' {}^\omega (x \cap y) = y$ .  $\square$

Next we give some variations of Theorem 2.1 for ideals defined by familiar notions.

**Lemma 2.6.** *If  $I$  is normal and  $\eta^+$ -saturated with  $\eta^\omega < \kappa$ , then  $I$  is  $(\omega, \lambda)$ -distributive.*

**Proof.** Let  $X \in I^+$ ,  $f: X \rightarrow {}^\omega\lambda$  such that  $f(x) \in {}^\omega x$  for all  $x \in X$ . Define a regressive function  $f_n: X \rightarrow \lambda$  by  $f_n(x) = f(x)(n)$  for each  $n \in \omega$ .  $W_n = \{f_n^{-1}(\{\gamma\}): \gamma <$

$\lambda\} \cap I^+$  is a disjoint  $I$ -partition of  $X$  and  $A_n = \{\gamma < \lambda: f_n^{-1}(\{\gamma\}) \in W_n\}$  has the cardinality  $\leq \eta$ . Let  $S = \{x \in X: x \in \bigcup W_n \text{ for all } n \in \omega\}$ .  $S \in I^+$  since  $\bigcup W_n \in (I|X)^*$  and  $I$  is  $\kappa$ -complete. For any  $x \in S$ ,  $f(x) \in \{h: \omega \rightarrow \lambda: h(n) \in A_n \text{ for all } n \in \omega\} = B$ . Hence  $f''S \subset B$  and  $|B| \leq \eta^\omega < \kappa$ . Thus we can find a  $g \in B$  such that  $\{x \in S: f(x) = g\} \in I^+$ .  $I$  is  $(\omega, \lambda)$ -distributive by 1.3.  $\square$

The following is straightforward by the remark that follows Lemma 1.3 and by the proof of Lemma 2.6.

**Corollary 2.7.** (1) *If  $I$  is normal  $\eta$ -saturated and  $\eta^\mu < \kappa$ , then  $I$  is  $(\mu, \lambda)$ -distributive.*  
 (2) *If  $\kappa$  is inaccessible and  $I$  is normal  $\kappa$ -saturated, then  $I$  is strongly normal.*

Now we get a natural generalization of Solovay's theorem.

**Theorem 2.8.** *Suppose that  $\lambda$  is regular.*

- (1) *If  $I$  is strongly normal and  $\lambda$ -saturated, then  $\text{Sup}|X$  is one-to-one for some  $X \in I^*$ .*  
 (2) *If  $I$  is normal  $\kappa$ -saturated and  $\kappa$  is inaccessible, then  $\text{Sup}|X$  is one-to-one for some  $X \in I^*$ .*

Note that  $\kappa$  is assumed to be Mahlo in (1) by Theorem 1.1-(3) and the fact in [12] that no ideal on  $\mathcal{P}_{\nu^+}\lambda$  is  $\lambda$ -saturated. The following problem still remains open.

**Question 2.9.** *Does the conclusion of 2.8 also hold for normal  $\lambda$ -saturated ideals, or at least normal  $\kappa$ -saturated ideals?*

On the other hand we can not prove Solovay's theorem for  $NS_{\kappa\lambda}$  in  $ZFC$ . Baumgartner proved in [4] that  $|C| \geq \lambda^\omega$  for every closed unbounded  $C \subset \mathcal{P}_\kappa\lambda$ . We conclude this section by remarking that the regularity of  $\lambda$  in Theorem 2.8 is necessary.

**Definition.**  $SNS_{\kappa\lambda} = \{X \subset \mathcal{P}_\kappa\lambda: X \subset \bigcup_{\alpha < \lambda} X_\alpha \text{ for some } \{X_\alpha: \alpha < \lambda\} \subset I_{\kappa\lambda}\}$ .

It was shown in [13] that  $I_{\kappa\lambda} \subsetneq SNS_{\kappa\lambda} \subsetneq NS_{\kappa\lambda}$ .

**Proposition 2.10.** *Suppose that  $\lambda$  is singular and  $SNS_{\kappa\lambda} \subset I$ . Then for any  $X \in I^+$  and  $\eta < \lambda$ ,  $\text{Sup}|X$  is not  $\leq \eta$ -to-one, that is,*

$$|\{x \in X: \text{sup}(x) = \gamma\}| > \eta \text{ for some } \gamma < \lambda.$$

**Proof.** If  $\text{cf}(\lambda) < \kappa$ ,  $\{x: \text{sup}(x) = \lambda\} \in I_{\kappa\lambda}^*$ . Assume that  $\kappa \leq \text{cf}(\lambda)$ . Let  $A \subset \lambda$  be a closed unbounded set of cardinals such that  $|A| = \text{cf}(\lambda)$ . We first show that  $B = \{x \in \mathcal{P}_\kappa\lambda: \text{sup}(x) \in A\} \in I^*$ . Since  $SNS_{\kappa\lambda} \subset I$ , we have  $\{x: \text{sup}(x) \notin A\} \in I^*$ . If  $\{x: \text{sup}(x \cap A) < \text{sup}(x)\} \in I^+$ , then we find  $\alpha < \lambda$  so that  $\{x: \text{sup}(x \cap A) < \alpha\} \in I_{\kappa\lambda}^+$  contradicting to  $A$  is cofinal. So,  $\{x: \text{sup}(x \cap A) = \text{sup}(x)\} \in I^*$  and we are done since  $A$  is closed.

Let  $X \in I^+$  and  $\eta < \lambda$ . Then,  $|X \cap B| \geq \lambda > |A|$  and  $\text{sup}(x) \in A$  for any  $x \in X \cap B$ . Now the conclusion is clear.  $\square$

### 3. The minimal ideals in the Rudin–Keisler ordering

The Rudin–Keisler ordering of filters on  $\omega$  are naturally generalized to ideals on  $\mathcal{P}_\kappa\lambda$ . In this section we only mention minimal ideals in the ordering.

**Definition.** Let  $I$  and  $J$  be ideals on  $\mathcal{P}_\kappa\lambda$ .

- (1)  $f_*(I) = \{X \subset \mathcal{P}_\kappa\lambda: f^{-1}(X) \in I\}$  for any  $f: \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda$ .
- (2)  $J \leq I$  if  $J = f_*(I)$  for some  $f: \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda$ .
- (3)  $I$  and  $J$  are said to be *isomorphic* and we write  $I \cong J$  if  $J = f_*(I)$  and  $f|X$  is one-to-one for some  $X \in I^*$ .
- (4)  $f: \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda$  is *I-fine* if  $\{x \in \mathcal{P}_\kappa\lambda: \alpha \notin f(x)\} \in I$  for any  $\alpha < \lambda$ .

The motivation of *I-fine* functions will be seen at once.

**Proposition 3.1.** For any  $f: \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda$ ,  $f$  is *I-fine* iff  $f_*(I)$  is an ideal on  $\mathcal{P}_\kappa\lambda$ .

So,  $I$  is minimal in the RK-ordering iff  $I \cong f_*(I)$  for every *I-fine*  $f$  iff every *I-fine* function is one-to-one on a set in  $I^*$ . Menas [13] proved that any normal ultrafilter on  $\mathcal{P}_\kappa\lambda$  is minimal if  $\lambda$  is regular or  $\text{cf}(\lambda) < \kappa$ . We shall give some ideals which are minimal in the RK-ordering in the following. (We simply say *minimal* denoting minimal in the RK-ordering.)

We also define a function which is useful in considering minimality.

**Definition.**  $S: \mathcal{P}_\kappa\lambda \rightarrow \lambda + 1$  is the least unbounded function for  $I$  if

- (i)  $(\forall \alpha < \lambda)(\{x: S(x) \leq \alpha\} \in I)$  ( $S$  is unbounded),
- (ii)  $(\forall g: \mathcal{P}_\kappa\lambda \rightarrow \lambda)(X = \{x: g(x) < S(x)\} \in I^+ \longrightarrow (\exists \alpha < \lambda)(\{x \in X: g(x) \leq \alpha\} \in I^+))$ .

$I$  is *weakly normal* if the  $\text{Sup}$ -function is the least unbounded function for  $I$ .

Note that every ideal does not have the least unbounded function. But it is clear that every normal ideal is weakly normal. The following is essentially due to Menas [13].

**Theorem 3.2.** Let  $I$  be an ideal on  $\mathcal{P}_\kappa\lambda$ . If the least unbounded function for  $I$  is one-to-one on some  $X \in I^*$ , then  $I$  is minimal.

**Proof.** Suppose that  $S$  is the least unbounded function for  $I$ ,  $X \in I^*$ ,  $S|X$  is one-to-one and  $f$  is *I-fine*. Define  $g: \mathcal{P}_\kappa\lambda \rightarrow \lambda$  by

$$g(x) = \begin{cases} S(y) & \text{if } (\exists y \in X)(S(y) < S(x) \wedge f(x) = f(y)), \\ 0 & \text{otherwise.} \end{cases}$$

If  $\{x: g(x) > 0\} \in I^+$ , then  $\{x: g(x) < S(x)\} \in I^+$ . So, we have  $Y = \{x: g(x) \leq \alpha\} \in I^+$  for some  $\alpha < \lambda$ .  $Z = f''Y \subset f''\{y \in X: S(y) < \alpha\}$  and  $|\{y \in X: S(y) < \alpha\}| \leq \alpha$  since  $S|X$  is one-to-one. So,  $|Z| < \lambda$  contradicting to  $Z \in f_*(I)^+ \subset I_{\kappa\lambda}^+$ .

Now we have proved that  $W = \{x: g(x) = 0\} \in I^*$ .  $f|W \cap X$  is clearly one-to-one.  $\square$

Now the following is immediate by Theorem 2.8 and 3.2.

**Corollary 3.3.** *For regular  $\lambda$ , every strongly normal  $\lambda$ -saturated ideal is minimal.*

Before extending this to  $\lambda$  such that  $\text{cf}(\lambda) < \kappa$ , we state results from [3].

**Definition.** Suppose that  $\kappa$  is inaccessible and  $\{s_\alpha: \alpha < \lambda^{<\kappa}\}$  is an enumeration of  $\mathcal{P}_\kappa\lambda$ . If  $g: \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda^{<\kappa}$  is defined by

$$g(x) = \{\alpha < \lambda^{<\kappa}: s_\alpha \subset x \text{ and } |s_\alpha| < |x \cap \kappa|\},$$

$g$  is one-to-one and  $g_*(I)$  is an ideal on  $\mathcal{P}_\kappa\lambda^{<\kappa}$ . Moreover,

**Lemma 3.4.**  *$g_*(I)$  is strongly normal iff  $I$  is strongly normal. Also for any  $\eta$ ,  $g_*(I)$  is  $\eta$ -saturated iff  $I$  is  $\eta$ -saturated.*

**Theorem 3.5.** *If  $\kappa$  is Mahlo and  $\text{cf}(\lambda) < \kappa$ , then every strongly normal  $\lambda^+$ -saturated ideal is minimal.*

**Proof.**  $\lambda^{<\kappa} = \lambda^+$  and  $g_*(I)$  is strongly normal  $\lambda^+$ -saturated ideal on  $\mathcal{P}_\kappa\lambda^+$  by 1.2 and 3.4. Hence there is an  $X \in g_*(I)^*$  such that  $\text{Sup}X$  is one-to-one.

Suppose that  $h: \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda$  is  $I$ -fine. Define  $k: \mathcal{P}_\kappa\lambda^+ \rightarrow \mathcal{P}_\kappa\lambda$  so that  $k(g(x)) = h(x)$  for any  $x \in \mathcal{P}_\kappa\lambda$ . Then  $h_*(I) = k_*(g_*(I))$ . By the argument used in Theorem 3.2,  $k|Y$  is one-to-one for some  $Y \in g_*(I)^*$ . Hence  $h|g^{-1}(Y)$  is injective and  $g^{-1}(Y) \in I^*$ .  $\square$

In [2] we proved the converse of Theorem 3.2 for prime ideals provided that  $\lambda$  is regular. Here is a more general version.

**Theorem 3.6.** *Suppose that  $\lambda$  is regular,  $I$  has the least unbounded function  $S$  and there is no disjoint collection of  $\lambda$  many  $I$ -positive sets. Then,  $I$  is minimal iff  $S|X$  is one-to-one for some  $X \in I^*$ .*

**Proof.** One direction was proved in Theorem 3.2. So, assume that  $I$  is minimal. We use Solovay's theorem in [14] that every stationary subset of a regular cardinal  $\delta$  is a disjoint union of  $\delta$  many stationary subsets. So, let  $\{A_\alpha: \alpha < \lambda\}$  be a pairwise disjoint family of stationary subsets of  $\lambda$  such that  $\bigcup_{\alpha < \lambda} A_\alpha = \{\xi < \lambda: \text{cf}(\xi) = \omega\}$ . Define  $f: \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda$  by  $f(x) = \{\alpha < \lambda: A_\alpha \cap S(x) \text{ is a stationary subset of } S(x)\}$ . We first prove that  $f$  is  $I$ -fine.

Suppose that there is an  $\alpha < \lambda$  such that  $\{x \in \mathcal{P}_\kappa\lambda: \alpha \notin f(x)\} \in I^+$ .  $X = \{x \in \mathcal{P}_\kappa\lambda: A_\alpha \cap S(x) \text{ is not stationary in } S(x)\} \in I^+$ . For each  $x \in X$ , there is a closed unbounded subset of  $S(x)$ ,  $C_x$  such that  $C_x \cap A_\alpha = \emptyset$ . Let  $D = \{\beta < \lambda: \{x \in X: \beta \notin C_x\} \in I\}$ .

We show that  $D$  is an  $\omega_1$ -closed unbounded subset of  $\lambda$ . Let  $\gamma_0 < \lambda$ . For each  $x \in \{y \in X: \gamma_0 < S(y)\} \in I^+$ , we have a  $g(x) \in C_x$  such that  $\gamma_0 \leq g(x) < S(x)$ . To show  $\{x: g(x) < \gamma_1\} \in (I|X)^*$  for some  $\gamma_1 < \lambda$ , we make a sequence  $\{\xi_\alpha: \alpha < \eta\}$



with  $\eta < \lambda$  such that  $Y_\alpha = \{x \in X: \xi_\alpha < g(x) \leq \xi_{\alpha+1}\} \in I^+$  as follows. Let  $\xi_0 = \gamma_0$ . Suppose that  $\{\xi_\beta: \beta < \alpha\}$  was already defined for  $\alpha < \lambda$  and  $\nu = \sup\{\xi_\beta: \beta < \alpha\}$ . By regularity of  $\lambda$ ,  $\nu < \lambda$ . If  $\{x \in X: \nu < g(x)\} \in I$ , let  $\gamma_1 = \nu$ . Otherwise, we have a  $\xi_\alpha > \nu$  so that  $\{x \in X: \nu < g(x) \leq \xi_\alpha\} \in I^+$  since  $S$  is the least unbounded function for  $I$ . We can not continue this argument  $\lambda$  times since  $\{Y_\xi: \xi < \eta\}$  is a disjoint collection of  $I$ -positive sets.

Now  $\{x: C_x \cap [\gamma_0, \gamma_1] \neq \emptyset\} \in (I|X)^*$  with  $\gamma_1 = \sup\{\xi_\alpha: \alpha < \eta\} < \lambda$ . We continue this procedure to get an increasing sequence  $\{\gamma_n: n \in \omega\}$  such that  $X_n = \{x: C_x \cap [\gamma_n, \gamma_{n+1}] \neq \emptyset\} \in (I|X)^*$  for each  $n \in \omega$ . Let  $Z = \bigcap\{X_n: n \in \omega\} \in (I|X)^*$  and  $\delta = \sup\{\gamma_n: n \in \omega\}$ .  $\delta < \lambda$  and  $\delta \in C_x$  for any  $x \in Z$  by our construction. So,  $\gamma \leq \delta \in D$  and  $D$  is unbounded.

For the  $\omega_1$ -closure of  $D$ , let  $\{\beta_n: n \in \omega\} \subset D$  be any increasing sequence and  $\beta = \sup\{\beta_n: n \in \omega\}$ . Since  $Z_n = \{x: \beta_n \in C_x\} \in (I|X)^*$  for each  $n \in \omega$ ,  $Z = \bigcap_{n \in \omega} Z_n \in (I|X)^*$ . For each  $x \in Z$ , we have  $\{\beta_n: n \in \omega\}$  is an increasing sequence in  $C_x$  which is closed. Hence  $\beta \in C_x$  for any  $x \in Z$ . Thus  $\beta \in D$ .

Since we have proved  $D$  is  $\omega_1$ -closed unbounded, there is a  $\beta \in A_\alpha \cap D$ .  $\{x: \beta \in C_x\} \in (I|X)^*$  since  $\beta \in D$ . But  $C_x \cap A_\alpha = \emptyset$  for any  $x \in X$ . This contradiction tells us that  $f$  is  $I$ -fine.

We can find an  $X \in I^*$  such that  $f|X$  is one-to-one since  $I$  is minimal. It is clear that  $f(x) = f(y)$  if  $S(x) = S(y)$ . So,  $S|X$  is one-to-one.  $\square$

As the referee kindly pointed out, the original paper had contained an error to assert the conclusion also holds if  $\bigcap_{n \in \omega} X_n \in I^+$  for any decreasing sequence of  $I$ -positive sets  $\{X_n: n \in \omega\}$ . In this direction one may need a slightly stronger condition than  $(\omega, \lambda)$ -distributivity as below.

For any  $X \in I^+$  and  $\{W_n: n \in \omega\}$  such that  $W_0 = \{X\}$ ,  $W_{n+1}$  consists of disjoint  $I$ -partitions of each element of  $\bigcup W_n$  and  $|W_n| \leq \lambda$  for all  $n \in \omega$ , there are  $Y \in I^+$  and  $\{X_n: n \in \omega\}$  such that  $X_n \in \bigcup W_n$  and  $Y - X_n \in I|X$  for every  $n \in \omega$ .

Note that the existence of an ideal which satisfies the condition of Theorem 3.6 implies a weakly normal ideal in the sense of [1]. The theorem also tells us for regular  $\lambda$  a normal  $\lambda$ -saturated ideal is minimal iff  $Sup|X$  is one-to-one for some  $X \in I^*$ .

The author does not know whether  $NS_{\kappa\lambda}$  is minimal. We can easily find a function  $f: \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda$  such that  $f^{-1}(\{a\}) \in I_{\kappa\lambda}$  for all  $a \in \mathcal{P}_\kappa\lambda$  and  $f|X$  is not one-to-one for any  $X \in I_{\kappa\lambda}^+$ . But no  $I$ -fine such a function could be found by the author.

It is a well-known theorem for ideals on  $\kappa$  that normal ideals are minimal. Also, any distinct ultrafilters on  $\kappa$  extending the closed unbounded filter are not isomorphic. These are not the case for ideals on  $\mathcal{P}_\kappa\lambda$ . Two distinct isomorphic fine measures extending the closed unbounded filter are presented for  $\lambda$  strong limit in [13] and [2]. The comparative simplicity in the theory of ideals on  $\kappa$  seems to come from the following trivial facts.

1. If  $|X| < \kappa$ , then  $|f''X| < \kappa$  for any  $f: \kappa \rightarrow \kappa$ .
2. If  $|Y| < \kappa$  and  $f: \kappa \rightarrow \kappa$  is one-to-one, then  $|f^{-1}(Y)| < \kappa$ .

The following is of some interest in this sense.

**Definition.**  $\nabla J = \{X \subset \mathcal{P}_\kappa \lambda : \text{there is a regressive } f : X \rightarrow \lambda \text{ such that } f^{-1}(\{\alpha\}) \in J \text{ for any } \alpha < \lambda\}$ .

So,  $J$  is normal iff  $\nabla J = J$ . It was shown in [6] that  $SNS_{\kappa\lambda} = \nabla I_{\kappa\lambda}$  and  $NS_{\kappa\lambda} = \nabla SNS_{\kappa\lambda}$ .

**Proposition 3.7.** *Let  $I, J$  be ideals on  $\mathcal{P}_\kappa \lambda$ . If  $I \cong f_*(I)$  and  $\nabla J \subset I \cap f_*(I)$ , then one of the following holds.*

- (a) *There is  $X \in I_{\kappa\lambda}$  such that  $f^{-1}(X) \in J^+$ .*
- (b) *There is  $Y \in J^+$  such that  $f^{-1}(Y) \in I_{\kappa\lambda}$ .*

If  $I$  is normal, then (b) is satisfied.

**Proof.** Let  $Z \in I^*$  such that  $f|Z$  is one-to-one,  $Z_0 = \{x \in Z : x \not\subset f(x)\}$  and  $Z_1 = \{x \in Z : f(x) \not\subset x\}$ . Either  $Z_0$  or  $Z_1$  is in  $I^+$ .

Assume  $Z_0 \in I^+$ . For any  $x \in Z_0$ , there is  $\alpha_x \in x$  such that  $\alpha_x \notin f(x)$ . By our hypothesis  $Z_0 \in (\nabla J)^+$ . Hence for some  $\gamma$ ,  $A = \{x \in Z_0 : \gamma \notin f(x)\} \in J^+$ . Then  $X = f''A \subset \{y : \gamma \notin y\} \in I_{\kappa\lambda}$ .

If  $Z_1 \in I^+$ , let  $B = f''Z_1 \in f_*(I)^+$ . For each  $y \in B$ , we have  $\beta_y \in y$  with  $\beta_y \notin f^{-1}(y)$ . Since  $B \in (\nabla J)^+$ , there is  $\delta$  such that  $Y = \{y \in B : \delta \notin f^{-1}(y)\} \in J^+$ . Then,  $f^{-1}(Y) \subset \{x : \delta \notin x\} \in I_{\kappa\lambda}$ .

We can easily see that  $\{x : x \subset f(x)\} \in I^*$  for any normal ideal  $I$  and  $I$ -fine  $f$ . Let  $X_\alpha = \{x : \alpha \notin f(x)\} \in I$  for each  $\alpha < \lambda$ .  $\{x : x \not\subset f(x)\} \subset \nabla_{\alpha < \lambda} X_\alpha \in I$  by normality.  $\square$

This proposition says that, in some sense, a “wild” function is necessary for large ideals to be isomorphic.

We can consider the properties corresponding to  $P$ -point and  $Q$ -point for ideals on  $\mathcal{P}_\kappa \lambda$ . Although some interesting results were achieved in [16] and [8], the structural theory of ideals on  $\mathcal{P}_\kappa \lambda$  is not satisfactorily established yet.  $\mathcal{P}_\kappa \lambda$  seems much richer than  $\kappa$ .

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